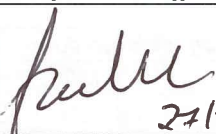


<b>Date:</b>	14 August 2017
<b>Meeting:</b>	CCG Governing Body
<b>Item Number:</b>	10.3
<b>Public/Private:</b>	Public <input checked="" type="checkbox"/> Private <input type="checkbox"/>

<b>Report Title:</b>
Audit Committee Meeting 12 <sup>th</sup> July 2017

<b>Author:</b> <i>(Name, Title)</i>	Erika Stoddart <i>Chair of Audit and Lay Governance Member</i>
<b>GB Lead:</b> <i>(Name, Title)</i>	Ian Holborn <i>Chief Finance Officer</i>
<b>Director approval/signature</b> <b>(MUST BE SIGNED)</b> <b>Date:</b>	 27/7/17

<b>Decisions to be made:</b>
To Note

Continue to improve the quality of services	<input type="checkbox"/>	Improve patient experience	<input type="checkbox"/>
Reduced unwarranted variations in services	<input type="checkbox"/>	Reduce the inequalities gap in North Lincolnshire	<input type="checkbox"/>
Deliver the best outcomes for every patient	<input type="checkbox"/>	Statutory/Regulatory	x <input type="checkbox"/>

**Executive Summary (Question, Options, Recommendations):**

The main issues that were discussed at the Audit Committee were:

Agreeing Financial Governance and the importance of control of the budgets especially with the funding issues.

Finance Policies were agreed.

It was observed that front line services that had been subject to IA were all Limited Assurance. This needs watching. Back end is all Substantial Assurance which is good. Provider Management was Limited Assurance and the Audit Committee with keep the Action Plan under review. 1/3 of outstanding actions had not been completed.

Annual Internal Audit Report was Substantial Assurance but this was border line.

Mazars were welcomed to their first Audit meeting as they are the new external auditors, Audit one management was welcomed to the Audit Committee as they have taking over the IA team.

The Survey Monkey on audit effectiveness was discussed

Robert Jaggs-Fowler attended and discussed hospital mortality as Dr Toby Blumenthal has some concerns. There was a really good discussion and the controls on the risk need to be updated in the light of not being able to access meaningful data from the hospital. It was noted that Robert had attempted various methods to get the data but access was not given. The gold standard for care is now in place to address any concerns.

The committee reviewed its effectiveness at the end of the meeting and agreed that the key issues were:

**Finalising the Work Plan**

**Tighter control on risks and regular update on controls and action plans**

**Internal and external audit recommendations need to be closed down.**

<b>Equality Impact</b>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
<b>Sustainability</b>	Yes <input type="checkbox"/> x No <input type="checkbox"/>	
<b>Risk</b>	Yes <input type="checkbox"/> x No <input type="checkbox"/>	
<b>Legal</b>	Yes <input type="checkbox"/> x No <input type="checkbox"/>	
<b>Finance</b>	Yes <input type="checkbox"/> x No <input type="checkbox"/>	

<i>Patient, Public, Clinical and Stakeholder Engagement to date</i>									
	<i>N/A</i>	<i>Y</i>	<i>N</i>	<i>Date</i>		<i>N/A</i>	<i>Y</i>	<i>N</i>	<i>Date</i>
<b>Patient:</b>	<input type="checkbox"/> x	<input type="checkbox"/>	<input type="checkbox"/>		<b>Clinical:</b>	<input type="checkbox"/> x	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Public:</b>	<input type="checkbox"/> x	<input type="checkbox"/>	<input type="checkbox"/>		<b>Other:</b>	<input type="checkbox"/> x	<input type="checkbox"/>	<input type="checkbox"/>	