

Date:	12 th October 2017
Meeting:	Governing Body
Item Number:	Item 8.0
Public/Private:	Public <input checked="" type="checkbox"/> Private <input type="checkbox"/>

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Report Title:	CCG Directions update
Decisions to be made:	The governing body is asked to note the summary update on the reporting of progress against CCG Directions made to NHS England in September

Continue to improve the quality of services	<input checked="" type="checkbox"/>	Improve patient experience	<input checked="" type="checkbox"/>
Reduced unwarranted variations in services	<input checked="" type="checkbox"/>	Reduce the inequalities gap in North Lincolnshire	<input checked="" type="checkbox"/>
Deliver the best outcomes for every patient	<input checked="" type="checkbox"/>	Statutory/Regulatory	<input checked="" type="checkbox"/>

Executive Summary (Question, Options, Recommendations):
The attached slide deck provides a summary of the progress report made to NHS England on 17 th September 2017 regarding progress against the CCG legal directions.

Equality Impact	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Ensuring that there is no inequitable detrimental impact resulting from financial recovery
Sustainability	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Ensuring that there is no detrimental impact resulting from financial recovery
Risk	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Balancing the risk between in year financial performance, recurrent financial stability and equitable quality
Legal	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Compliance with the legal directions is a legal requirement for the CCG
Finance	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	The CCG must deliver a balanced financial position

Patient, Public, Clinical and Stakeholder Engagement to date									
	N/A	Y	N	Date		N/A	Y	N	Date
Patient:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Clinical:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Public:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Other:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

MONTHLY REVIEW OF DIRECTIONS &
PROGRESS UPDATE AS REPORTED TO NHS
ENGLAND AT : SEPTEMBER 2017



Capacity and Capability Review – ACTIONS & PROGRESS

The CCG agreed 8 key actions at the March Governing Body to address the issues raised in the PWC capacity and capability report and the table below maps each of these to the recommendations upon which they will impact.

- Action 1 complete
- Action 2 we had developed the initial medium term plan alongside the March 2016 plan submission, in April to July focus was drawn by the CEP in to the 2017/18 position, which then changed the shape of the medium term recovery requirement, and we now have a revised draft of the medium term plan (shared with NHSE 1st September) on which we have been working with our governing body and are bringing PWC to support as part of our FRP submission for 2nd October.
- Action 3 - Frequent and transparent communications with NHSE already in place. New system delivery board with shared ownership of NLAG contract QIPP delivery established in March. QIPP requirements and levers enshrined in NLAG contract in March. Weekly system leadership meetings established to accelerate pace in March. Finance and Performance committee established in March. Joint meeting of resources committee (NLAG) and finance and performance committee and detailed timeframes for QIPP delivery established in April, weekly system meetings on CEP. QIPP to be overseen by Finance and Performance Committee. Refreshed budget holder training in April. Financial Recovery Programme Board established in August for closer executive oversight of the QIPP plan.
- Action 4 – complete
- Action 5 – complete
- Action 6 – Establishment of the QIPP PMO was delayed as team capacity was refocused on the CEP, the CCG brought in support from Attain in July to bring the programme of work back on track. We have since implemented a documentation, reporting and governance approach which mirrors the exemplar system in place in East Riding and we are using some of our QIPP2 capacity to support the embedding of these new processes. Discussions are ongoing about relationships and collaboration with the NLAG and STP PMOs. QIPP PMO capacity is being established within the N-NEL PMO in the interim along with capacity from the QIPP2 resource.
- Action 7 – finance staffing remains under review, the qualified member of staff returned from Mat leave, but has since left the organisation, we are in ongoing discussion with NHSE about capacity and working together.
- Action 8 – QIPP dashboard established

Initial plan attached below for reference

Action	Progress	M12	1	2	3	4	5	6	7	8	9	10	11	12
5 Governing Body frequency and content CO March	Actioned immediately, monthly meetings commenced Feb with finance at the top of every agenda Training needs discussed and identified at March workshop, now built in to workshop plan Sub committee agendas standardised to consider finance at the beginning of each meeting in March New note and minute taking protocol established in March Reinforced standard about purpose of papers in March	X	X		X		X	X		X		X		X
6 QIPP PMO CO May	Potential internal resource identified in March, discussions with NHSE about finance support to release capacity, processes and reporting to mirror STP arrangements, financial assessment of resourcing options in April, establish in May including standard processes and documentation standards, STAR chamber review and PbR / Acute contract oversight tracking group	X		X	X	X	X	X	X	X	X	X	X	X
7 Finance team capacity CFO April	Review capacity post return of qualified staff member from mat leave, and ongoing discussion with NHSE about support to finance team in 17/18					X		X		X				
8 Financial reports CFO June	QIPP dashboard options considered in draft in March, trend information to be incorporated in financial reports by June	X	X				X	X	X					

Financial Recovery Plan

- Deadline extension request to be submitted – to allow alignment with NLAG submission of their Financial Special Measures Financial Recovery Plan
- Further work undertaken to add detail to the recurrent sustainability proposals
- Submission shaped to reflect Governing Body workshop outcomes regarding allocation of resources, prioritisation and approach
- Partnership work with Humber CCG colleagues and NHS England finance team to refine presentation and narrative

Update since September meeting

- Deadline extended to 2nd October
- BCF plan agreed and submitted, further work to be done on evaluation of schemes and clarification of risk share arrangements
- FRP submitted as planned 2nd October
- Awaiting feedback from NHS England

Governance Review

- Scope finalised by 31st August deadline
- Provider contracted and commenced work 12th September
- Interviews scheduled to complete by 29th October, all internal interviews booked, some external stakeholders still to be booked
- Draft report to be issued 13th October

Update since September meeting

- All internal interviews complete, 2 external stakeholders outstanding as at 4th October, due to stakeholder availability, aim to complete by 9th October
- Request made to defer delivery of the draft report until 22nd October to enable observation of 12th October Governing Body and conclusion of stakeholder interviews and for these to be fully incorporated and reflected in the report – request made to NHS England. This is still well within the timeframe of the directions.

Turnaround Director

- Confirmation of Turnaround Director appointment
- Emma Latimer, Chief Officer, Hull CCG, to join the team the week commencing 2nd October
- Emma's work will focus on supporting the CCG to exit legal directions safely and as quickly as possible